

HOW THE OUTPUT CAPACITOR CAN CAUSE INSTABILITY OF A POWER SUPPLY

Eleazar Falco Application Engineer

WURTH ELEKTRONIK MORE THAN YOU EXPECT

AGENDA

- Review of feedback loop stability basics
- The output capacitor in a VM-CCM buck converter
 - The output capacitor in the power stage transfer function
 - Impact of output capacitor parameter variations
- Design cases with real measurements:
 - Adding/removing bulk capacitor
 - Same capacitance, different ESR
- A stable control-loop for any output capacitor?
- Q&A

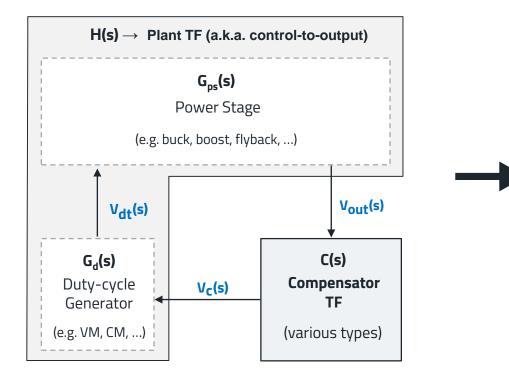




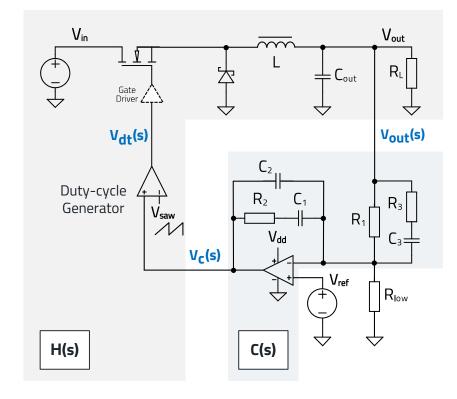
REVIEW OF FEEDBACK LOOP STABILITY CONCEPTS



The blocks in the control loop of a DC-DC converter

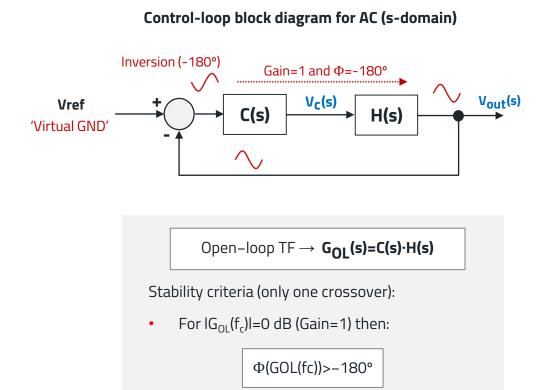


Voltage-mode buck converter with op-amp based type-3 compensator

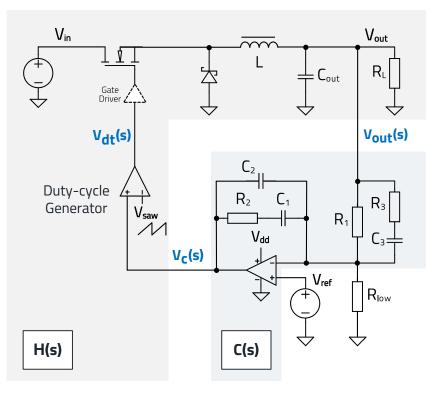




Open-loop transfer function and basic stability criteria



Voltage-mode buck converter with op-amp based type-3 compensator

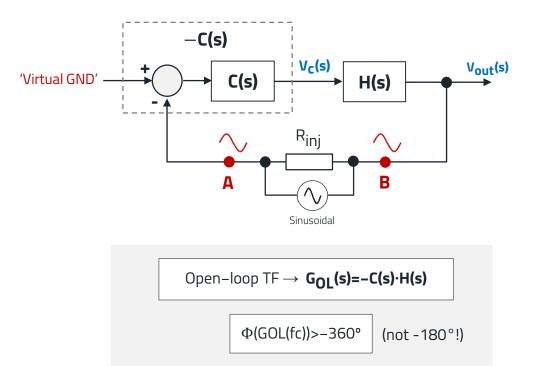




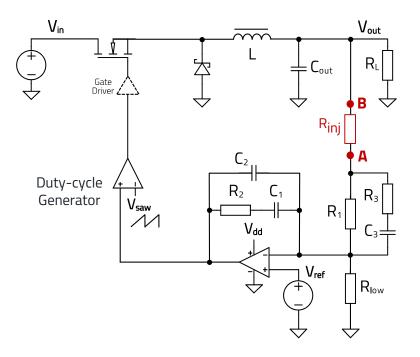
Stability measurement: the voltage injection method

How we will be measuring it:

Inversion is included in the measured compensator frequency response (negative feedback). It cannot be separated.



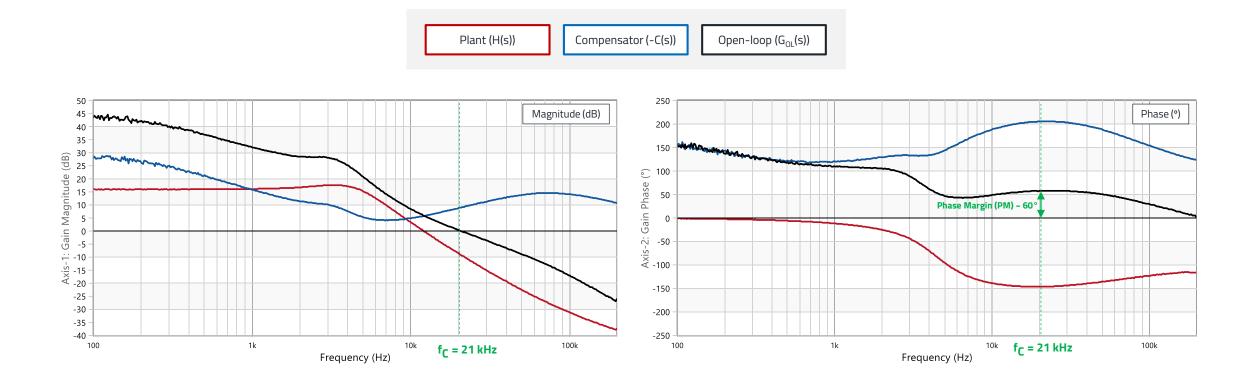
Voltage-mode buck converter with op-amp based type-3 compensator



(*) See for reference: *DC-DC Converter Stability Measurement*, V3.3, Application Note, Omicron Lab



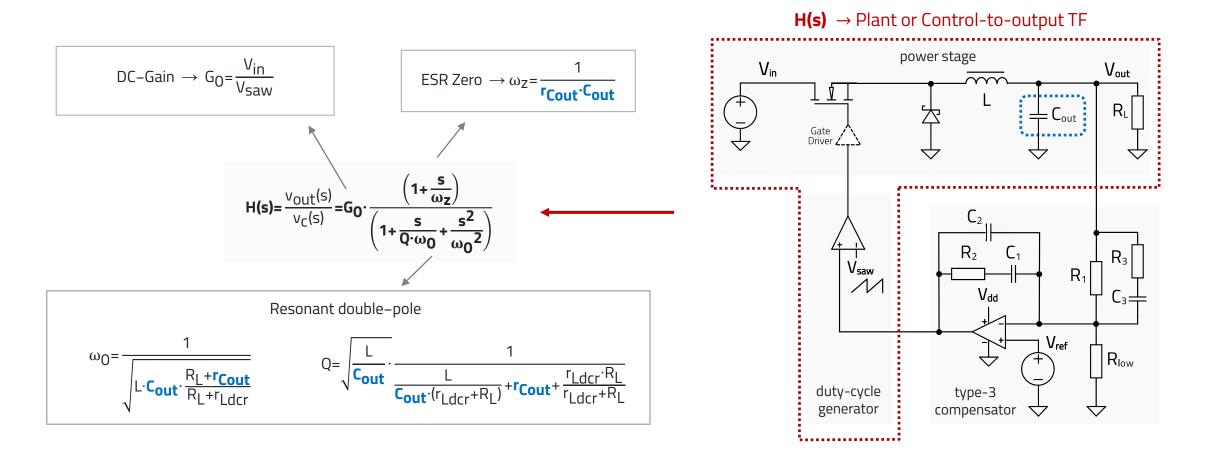
A measurement example: plant, compensator and open-loop response with stability margin





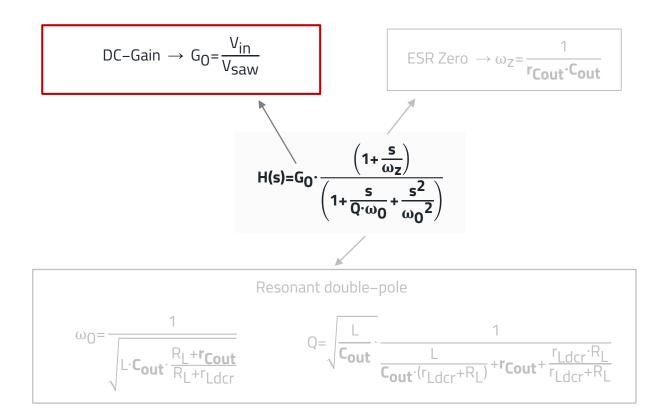


The plant or control-to-output transfer function of a VM-CCM Buck converter

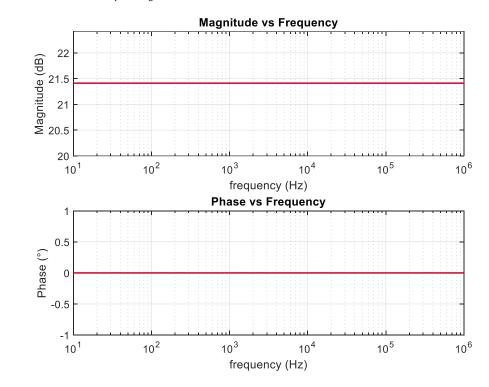


(*) See for reference: Switch-Mode Power Supplies: SPICE Simulations and Practical Designs, Second Edition McGraw-Hill Professional, 2014, written by C. Basso

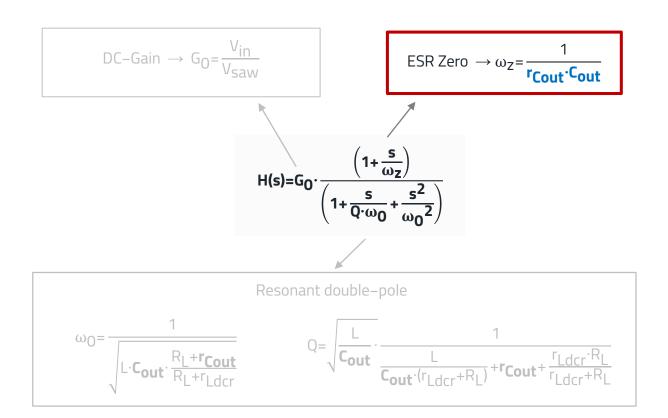
The DC or low-frequency gain term



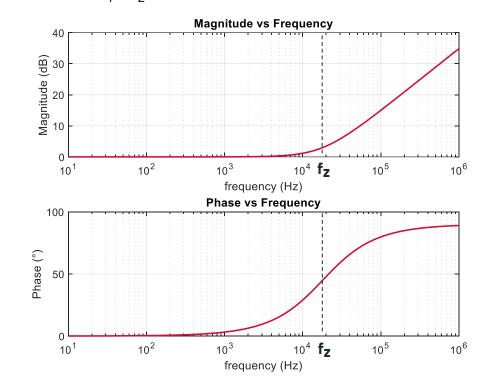
Example $G_0 = 21.2 \text{ dB}$



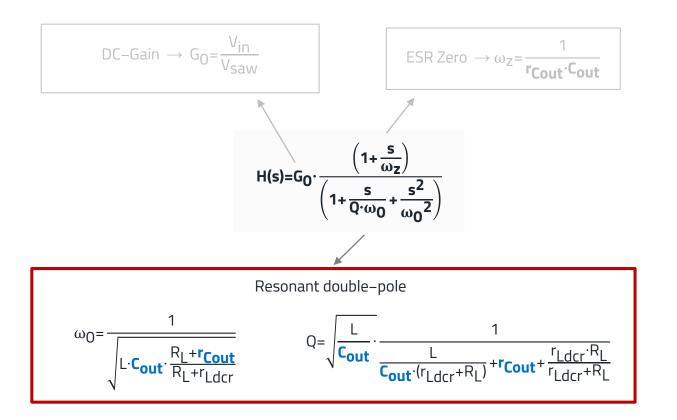
The ESR zero



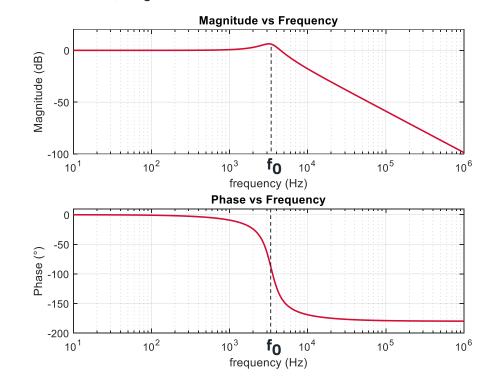
Example f_z=18 kHz



The resonant double-pole

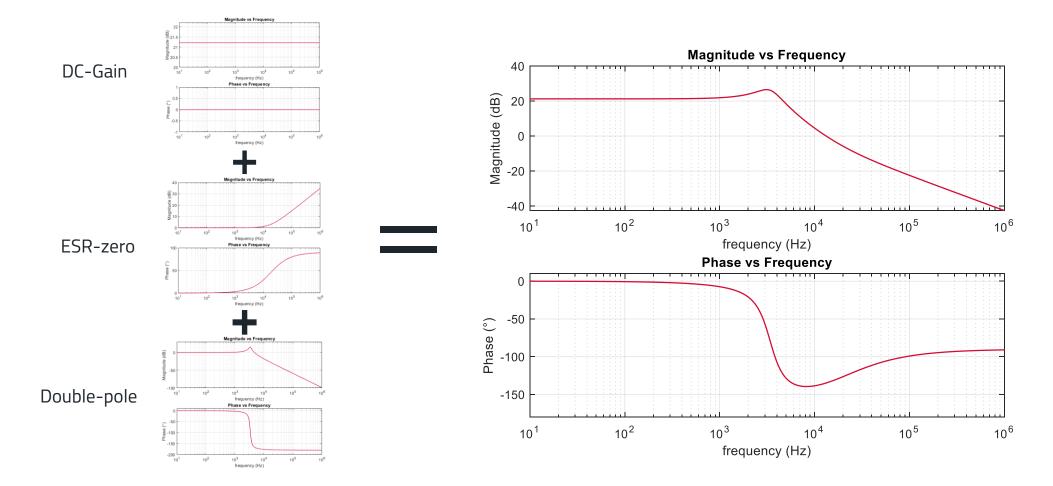


Example f_O=3.4 kHz, Q=1.7



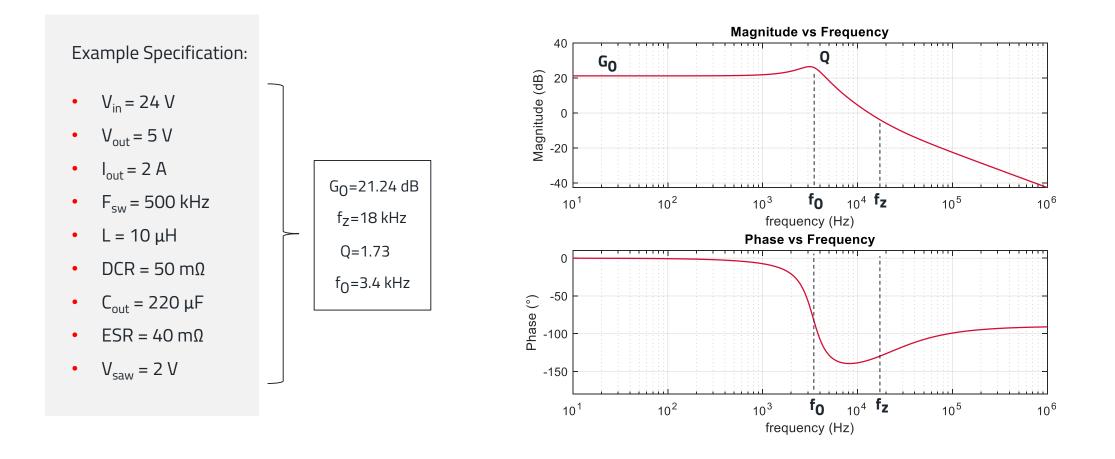


Adding magnitude and phase curves of each term to obtain the plant frequency response





VM-CCM buck specification used for the example





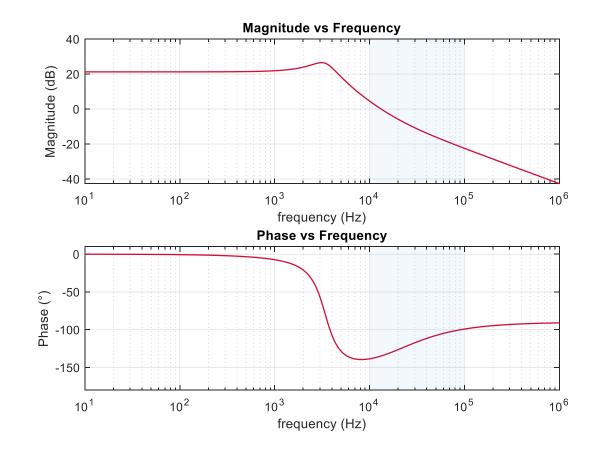
Selecting target crossover frequency range of the open-loop transfer function based on plant characteristic

• Target crossover frequency range

 $f_0/3 < f_C < f_{sw}/5$

• In this example:

 f_0 = 3.4 kHz and f_{sw} = 500 kHz 10 kHz < f_C < 100 kHz



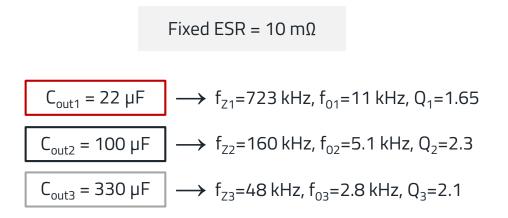


HOW VARIATIONS IN OUTPUT CAPACITOR PARAMETERS IMPACT THE PLANT CHARACTERISTIC

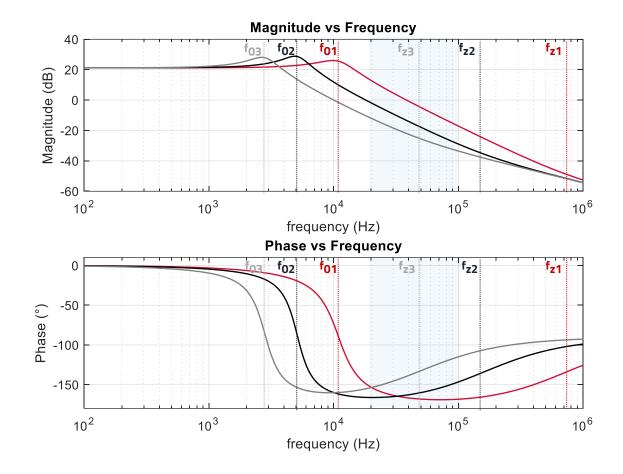


HOW OUTPUT CAPACITOR VARIATIONS AFFECT THE PLANT RESPONSE

Example: Impact of capacitance variations with fixed ESR



Large change of f_z and f_o - Small change of Q Gain difference up to ~ 25 dB (@20 kHz) Phase difference up to ~ 65° (@100 kHz)



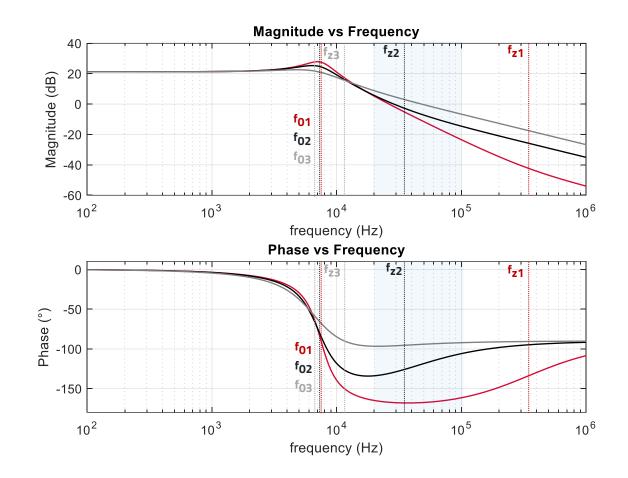


HOW OUTPUT CAPACITOR VARIATIONS AFFECT THE PLANT RESPONSE

Example: Impact of ESR variations with fixed capacitance

	Fixed $C_{out} = 47 \ \mu F$
ESR ₁ = 10 m	$\Omega \longrightarrow f_{Z1}=340 \text{ kHz}, f_{01}=7.5 \text{ kHz}, Q_1=2.1$
ESR ₂ = 100 m	$\Omega \longrightarrow f_{Z2}=34 \text{ kHz}, f_{02}=7.2 \text{ kHz}, Q_2=1.5$
ESR ₃ = 300 m	$\Omega \longrightarrow f_{Z3}=11.3 \text{ kHz}, f_{03}=6.7 \text{ kHz}, Q_3=0.9$

Large change of f_z - Small change of f_0 and Q Gain difference up to ~ 18 dB (@ 100 kHz) Phase difference of~ 90° (entire range)



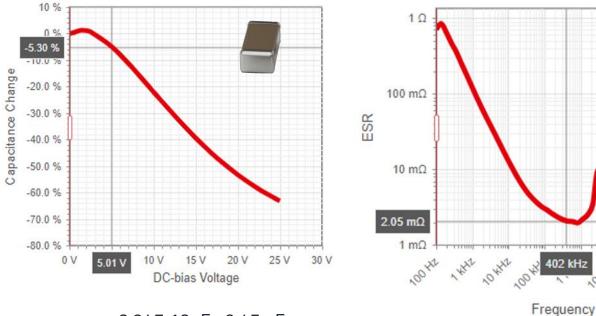
Selecting output capacitor(s) for switching frequency ripple attenuation (Co1)

- V_{in} = 12 V
- V_{out} = 5 V
- I_{out} = 4 A
- F_{sw} = 400 kHz
- L = 4.7 μH (MAPI 74438367047)
- $\Delta V_{out} < 0.5$ % of V_{out} (i.e. 25 mV)

$$C_{01} > \frac{\Delta I_L}{8 \cdot f_{sw} \cdot \Delta V_{out}} = \frac{1.7}{8 \cdot 400 k \cdot 0.025} \approx 22 \ \mu F$$

- Co1 = 3 x WCAP-CSGP 885012209028
- Equivalent: 28 μF, 0.7 mΩ

REDEXPERT DATA: WCAP-CSGP-885012209028



0.947·10µF≈ 9.45 µF



100 MHZ

GHZ

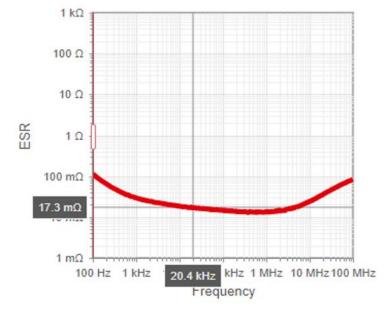
Selecting output capacitor for transient response (a.k.a. bulk capacitor) (Co2)

- Transient Specification:
 - Load current step: 1.5 to 3.5 A (1 A/µs)
 - V_{out} undershoot < 100 mV
- Open-loop crossover frequency: 20 kHz

$$C_{02} min \approx \frac{\Delta I_{out}}{2 \cdot \pi \cdot f_{c} \cdot \Delta V_{out}} = \frac{2A}{2 \cdot \pi \cdot 20k \cdot 0.1V} \approx 160 \ \mu F$$

- Co2 = WCAP-HSAH 875585345004
- 220 μF, 16 V, 17 mΩ (@ 20 KHz)

REDEXPERT DATA: WCAP-HSAH-875585345004



Hybrid-Polymer Capacitor



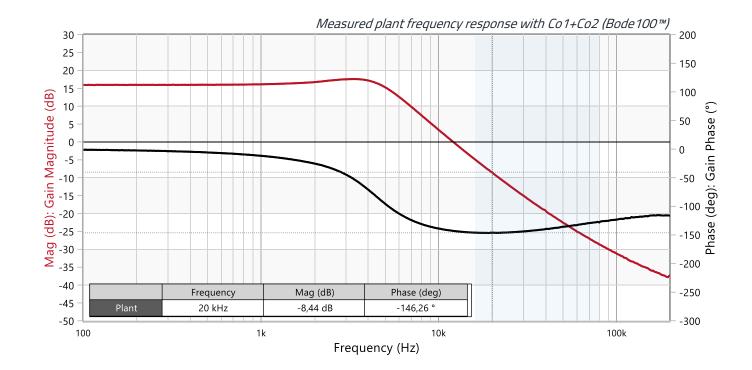


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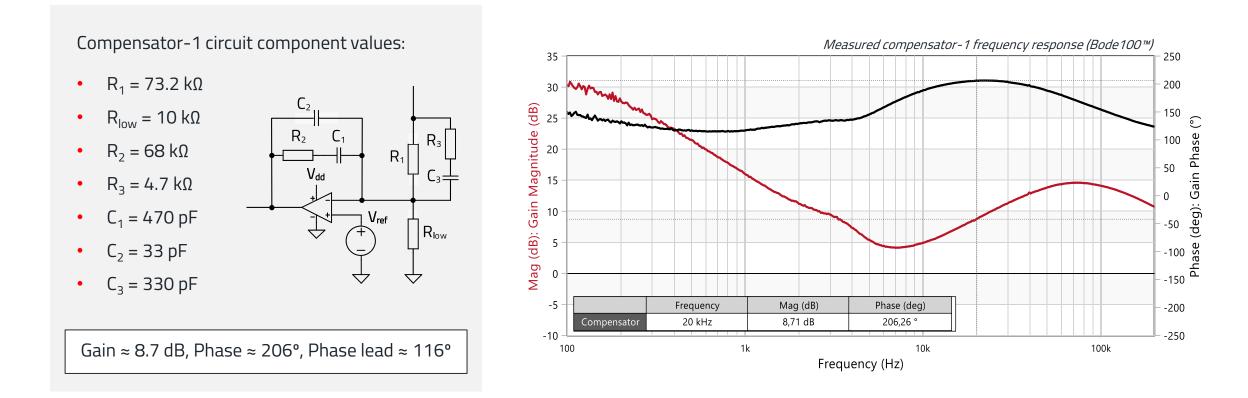
The plant or control-to-output transfer function of VM-CCM Buck with Co1 and Co2 (bulk capacitor)

- Bode plot of plant TF:
 - $f_z \approx 50 \text{ kHz}$
 - $f_0 \approx 4 \text{ kHz}$
 - Q ≈ 1.2
- f_c selection range: 15 to 80 kHz
- Selected: 20 kHz
- Magnitude: -8.44 dB, Phase: -146°
- Target PM = 60°
- Required compensator phase lead: 116°
- Need of a type-3 compensator ...



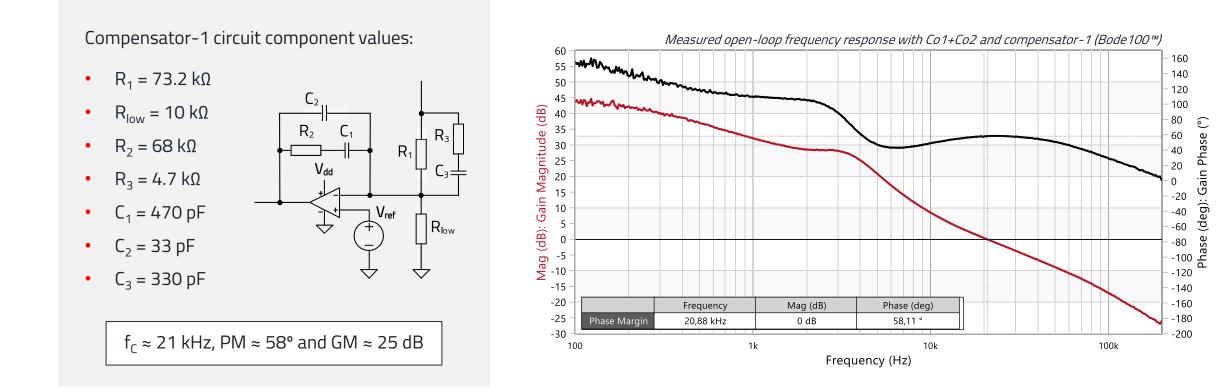


Type-3 compensator design and frequency response



(*) See for reference: Designing Control Loops for Linear and Switching Power Supplies: A Tutorial Guide, Artech House, 2012, written by C. Basso

Open-loop response and stability margins: Co1+Co2 with compensator-1

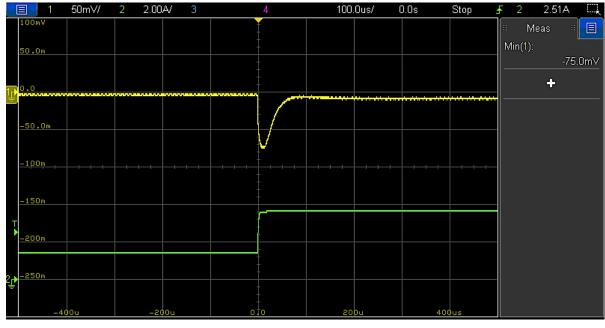




Buck converter board and load transient response: Co1+Co2 with compensator-1



Prototype VM-CCM buck board with Co1 and Co2



Load step: 1.5 to 3.5 A at 1 A/µs A (V_{out} (I), I_{out} (I))

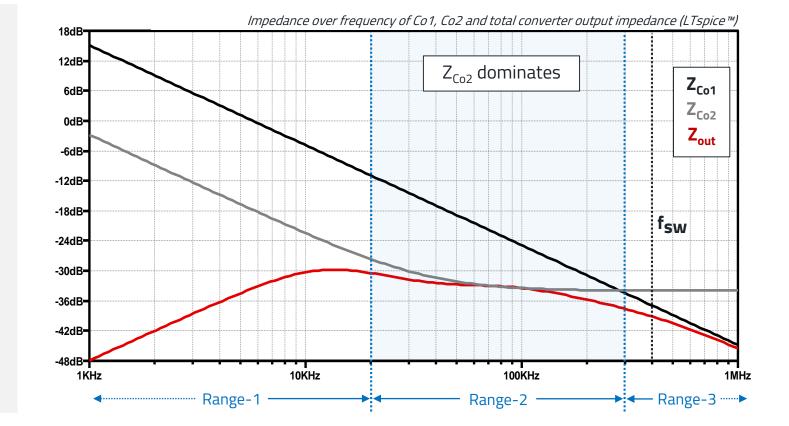
V_{out} undershoot of 75 mV (maximum was 100 mV)





Impedance curves: Co2 does not affect switching frequency ripple

- Range-1: f<f_c (=20 kHz)
 - Z_{out} set by closed control loop
- Range-2: 20 kHz < f < 300 kHz
 - Z_{out} set by Co2
- Range-3: f > 300 kHz
 - Z_{out} set by Co1
- Fsw = 400 kHz \rightarrow Z_{Co1}
- Co2 does not almost affect ΔV_{out}
- Relaxed transient requirement:
 - Co2 can be removed



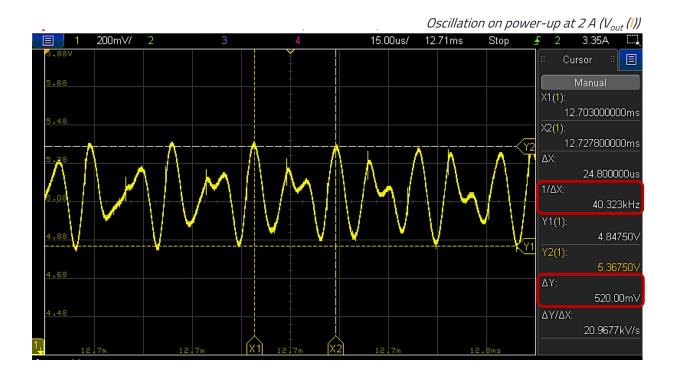


Removing Co2 with compensator-1: unstable operation

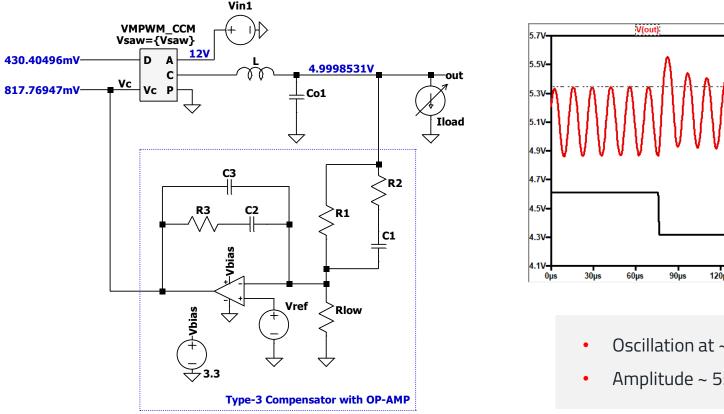
Co2 removed ...

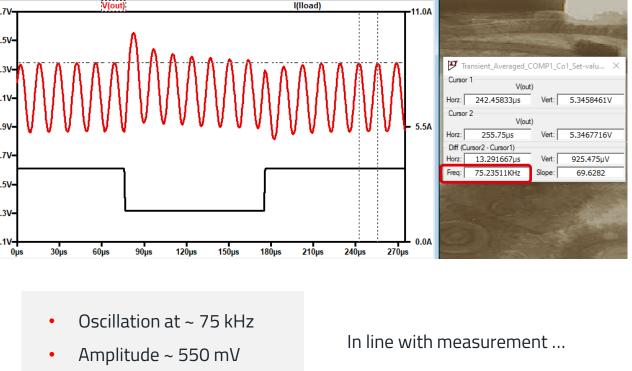
27

- Oscillation at 80 kHz
- Around 520 mV amplitude
- Erratic behavior (turn switching on/off)



LTspice™ transient simulation with averaged PWM switch model: unstable operation

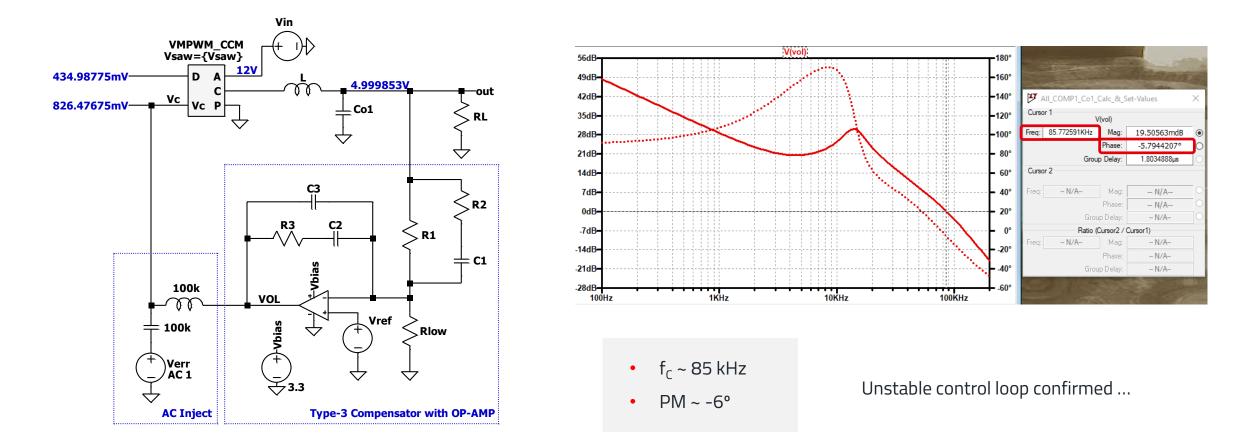




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LTspice[™] .AC simulation with averaged PWM switch model: open-loop response confirms instability

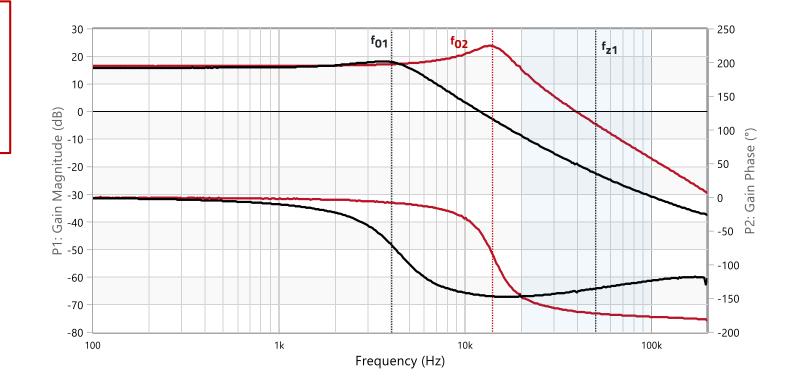


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How did the plant response change?

Plant TF with Co1+Co2	Plant TF with Co1
f _{z1} ≈ 50 kHz	f _{Z2} ≈8MHz
f ₀₁ ≈4 kHz	f ₀₂ ≈14 kHz
Q ₁ ≈1.2	Q ₂ ≈2.5



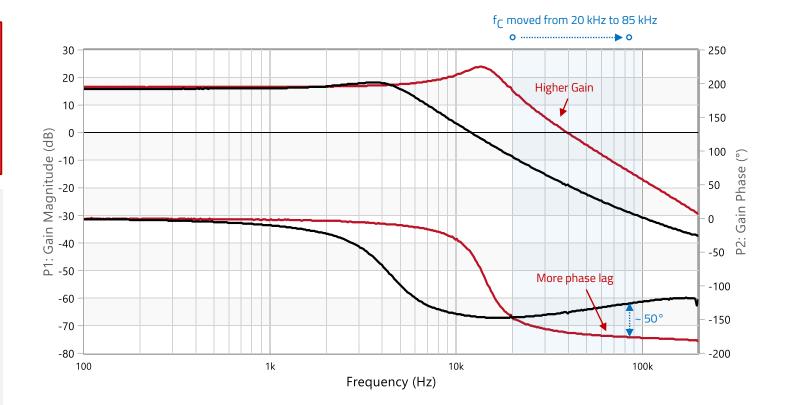


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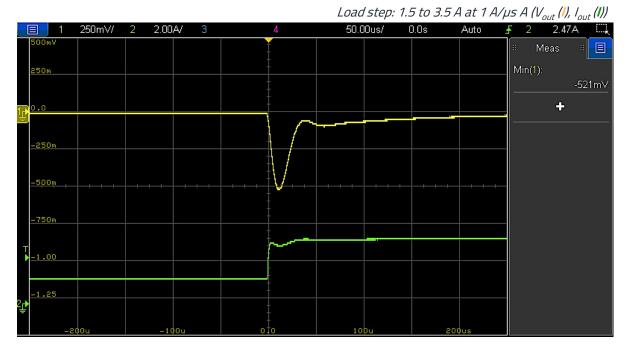
Fixed compensator-1, Co2 removed ...

- Higher gain \rightarrow Higher crossover f_c
- Higher crossover:
 - Higher phase lag (no ESR zero)
 - Compensator:
 - Maximum phase lead at 20 kHz
 - Lower phase lead at 85 kHz



Compensator redesign for stability without Co2

Compensator-2 circuit component values: $R_1 = 73.2 \text{ k}\Omega$ ٠ C_2 $R_{low} = 10 k\Omega$ ٠ R_2 C_1 R₃ $R_2 = 4.7 \text{ k}\Omega$ • R₁ V_{dd} $R_3 = 4.7 \text{ k}\Omega$ ٠ $C_1 = 6.8 \text{ nF}$ ٠ V_{ref} ┯ Rlow $C_2 = 470 \, \text{pF}$ • \checkmark $C_3 = 330 \, \text{pF}$ • $f_{\text{C}} \approx 21 \text{ kHz}, \text{PM} \approx 59.8^{\circ} \text{ and GM} \approx 18 \text{ dB}$



Stable, but much higher undershoot (0.52 V) due to no bulk capacitor ...



DESIGN CASE EXAMPLE: SAME CAPACITANCE - DIFFERENT ESR

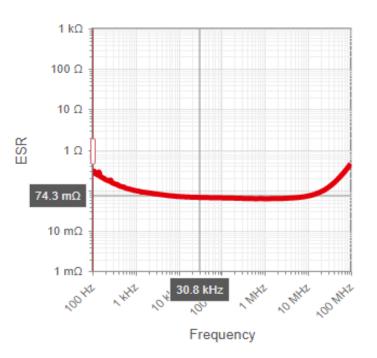


DESIGN CASE EXAMPLE: IMPACT OF ESR

Specification and output capacitors

- V_{in} = 12 V
- V_{out} = 3.3 V
- I_{out} = 4 A
- F_{sw} = 400 kHz
- L = 4.7 μH (MAPI 74438367047)
- $\Delta V_{out} < 0.4$ % of V_{out} (i.e. 20 mV)
- Use same Co1 for ΔV_{out} as previous design
- Bulk capacitor (Co3):
 - WCAP-ATLI 860080474010
 - 220 μF, 25 V, 75 mΩ (@ 30 kHz)

REDEXPERT DATA: WCAP-ATLI 860080474010

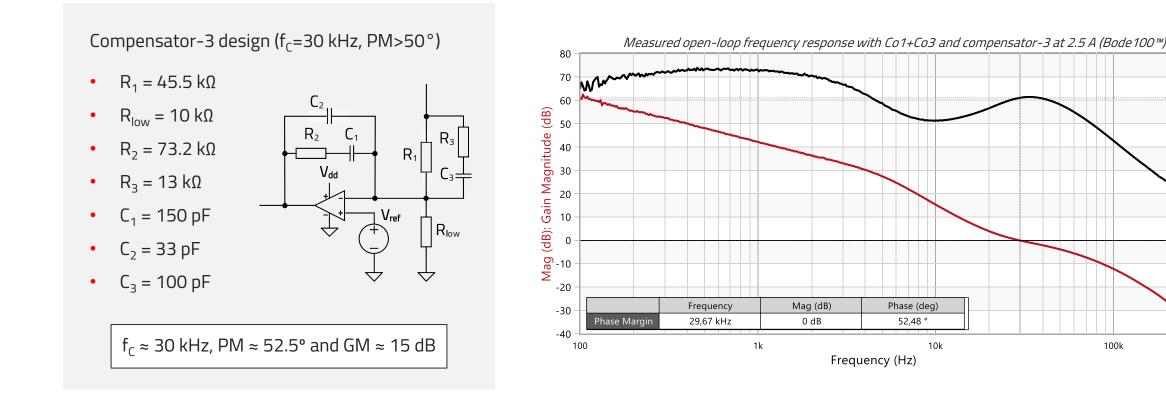


Aluminum electrolytic capacitor



DESIGN CASE EXAMPLE: IMPACT OF ESR

Open-loop response and stability margins: Co1+Co3 and compensator-3





100 80

60

40

-140

-160

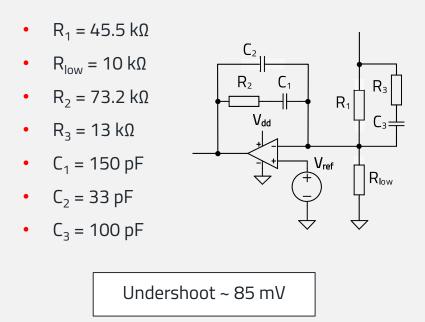
-180

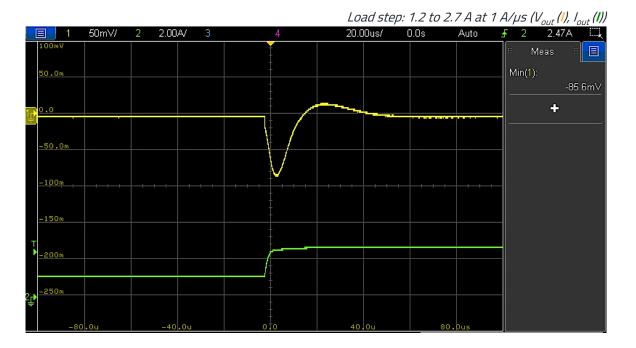
-200

DESIGN CASE EXAMPLE: IMPACT OF ESR

Transient response with Co1+Co3 and compensator-3

Compensator-3 circuit component values:







Replacing Co3 by an equivalent SMD, low-profile part (Co4)

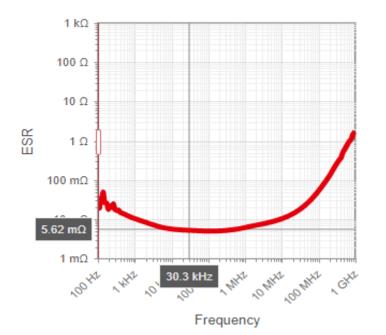
Typical requirements change:

- THT to SMT
- Mechanical constraints
- Stock availability
- Cost reduction

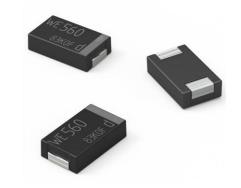
Example: All-SMT, Max. Height 4 mm

- Co4: WCAP-PHGP 875015119006
 - H-chip polymer, SMT, height: 2 mm
 - 220 μF, 6.3 V, 6 mΩ (@ 30 kHz)
- <u>Co3 replaced by Co4</u>

REDEXPERT DATA: WCAP-PHGP 875015119006



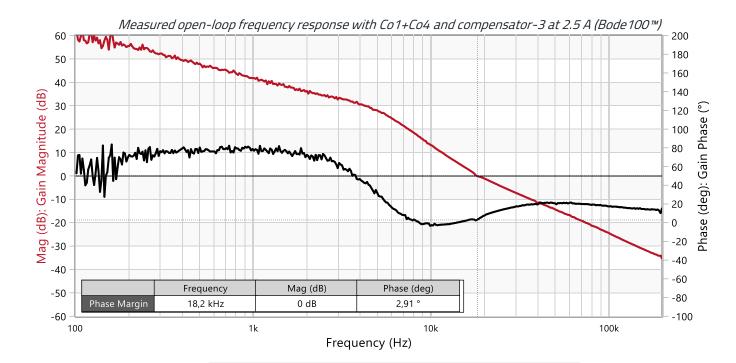
H-chip polymer capacitor



Buck converter board and open-loop response with Co1+Co4 and compensator-3



Prototype VM-CCM buck board with Co1, Co3 and Co4



PM below 10° - On the brink of instability !



Small load transient steps

Small load transient step:

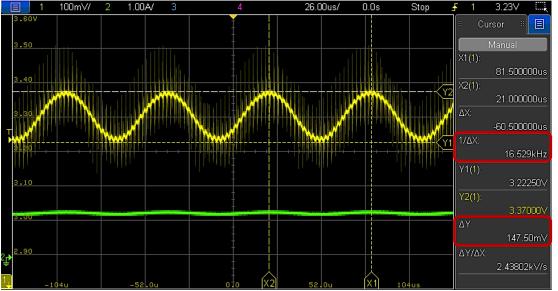
- From 2.15 A to 2.3 A •
- Load step up: decaying oscillation .
- Load step-down: permanent oscillation •
- Above ~ 2.2 A \rightarrow Stable •
- Below ~ 2.2 A \rightarrow Unstable •
- Oscillation frequency \approx 17.5 kHz •
- Remember OL crossover $f_c \approx 18 \text{ kHz}$ •



Load step: 2.15 to 2.3 A at 1 A/µs (V_{out} (I), I_{out} (I))

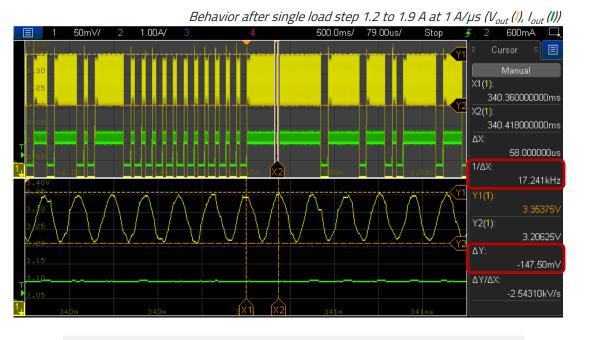


Power-up at 1.2 A load: Oscillation and erratic behavior



Oscillation on power-up at 1.2 A (V_{out} (I), I_{out} (II))

Unstable operation at constant 1.2 A load Oscillation at ~ 16.5 kHz with amplitude ~ 150 mV



Erratic behavior after a small load transient applied Oscillation at ~ 17 kHz with amplitude ~ 150 mV



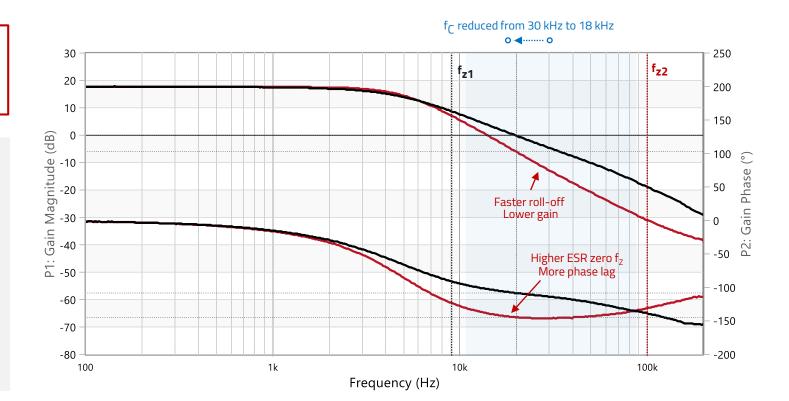
How did the plant response change?

Plant TF with Co1+Co3Plant TF with Co1+Co4 $f_{Z1} \approx 9 \text{ kHz}$ $f_{Z2} \approx 100 \text{ kHz}$

• f₀ and Q are similar

Replacing Co3 by Co4 ...

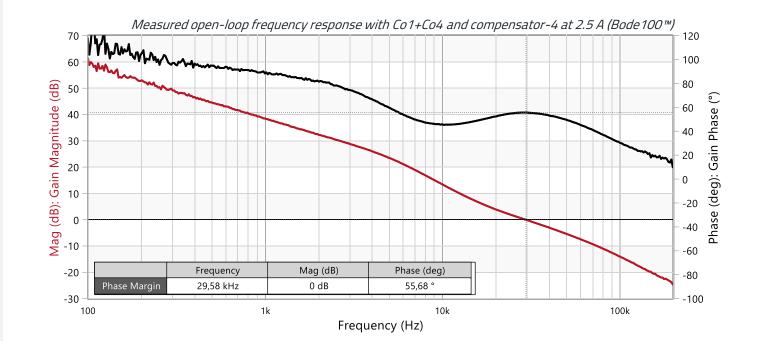
- Lower gain \rightarrow Lower crossover f_C
- Lower crossover (18 kHz):
 - Higher phase lag (higher f_z)
 - Compensator:
 - Lower phase lead at 18 kHz





Compensator redesign for stability with Co1+Co4

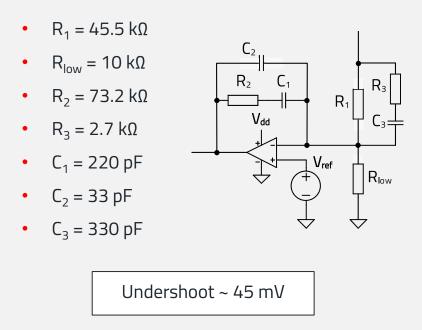
Compensator-4 circuit component values: $R_1 = 45.5 \text{ k}\Omega$ ٠ C_2 $R_{low} = 10 k\Omega$ R_2 C_1 R₃ $R_2 = 73.2 \text{ k}\Omega$ ٠ R_1 V_{dd} $R_3 = 2.7 \text{ k}\Omega$ $C_1 = 220 \, pF$ V_{ref} + $\mathsf{R}_{\mathsf{low}}$ $C_2 = 33 \, \text{pF}$ ٠ \triangleleft \triangleleft $C_3 = 330 \, \text{pF}$ • $f_{c}\approx 30$ kHz, PM $\approx 55^{\circ}$ and GM ≈ 25 dB

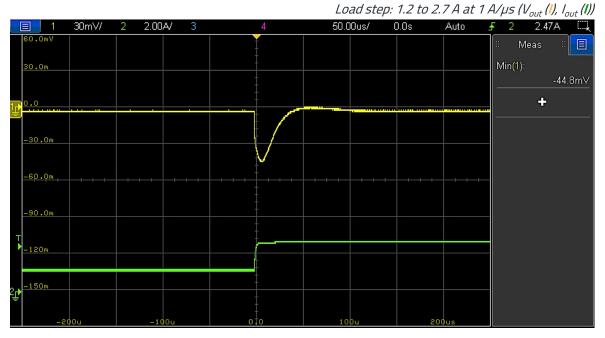




Transient response with Co1+Co4 and compensator-4

Compensator-4 circuit component values:





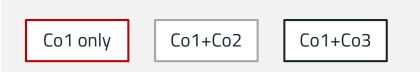
Lower undershoot than Co3, thanks to lower ESR



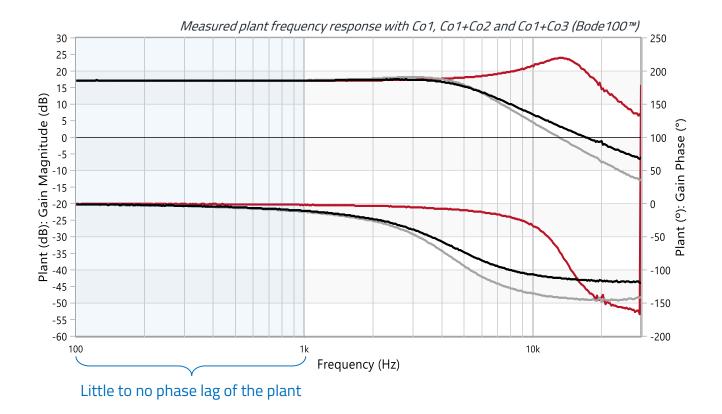
A STABLE CONTROL LOOP FOR A WIDE RANGE OF OUTPUT CAPACITORS?



The plant response with different capacitors



- Co4 not shown \rightarrow Very similar to Co2
- Below f ≈ 1 kHz:
 - Plant dominated by $G_0 \approx 17 \text{ dB} (\approx 7)$
 - No effect of f₀ and f_z
 - Little to no phase lag
- What if we select f_c below 1 kHz?



type-1 compensator

 C_1

 V_{dd}

 \checkmark

 V_{ref}

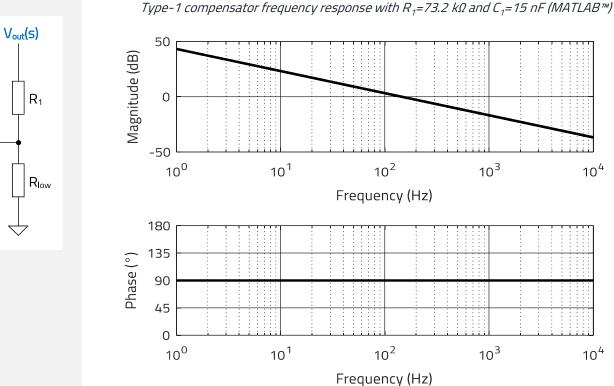
A type-1 compensator for 1 kHz crossover frequency



- Integrator C₁-R₁
- High DC-gain
- No phase lead
- Phase margin ~ 90°
- R₁ and R_{low} set V_{out}=5 V ٠
- C_1 calculated for $f_c=1$ kHz: •

C₁≈
$$\frac{G_0}{2 \cdot \pi \cdot f_c \cdot R_1} = \frac{7}{2 \cdot \pi \cdot 1k \cdot 73.2k} \approx 15 \text{ nF}$$

V_c(s)

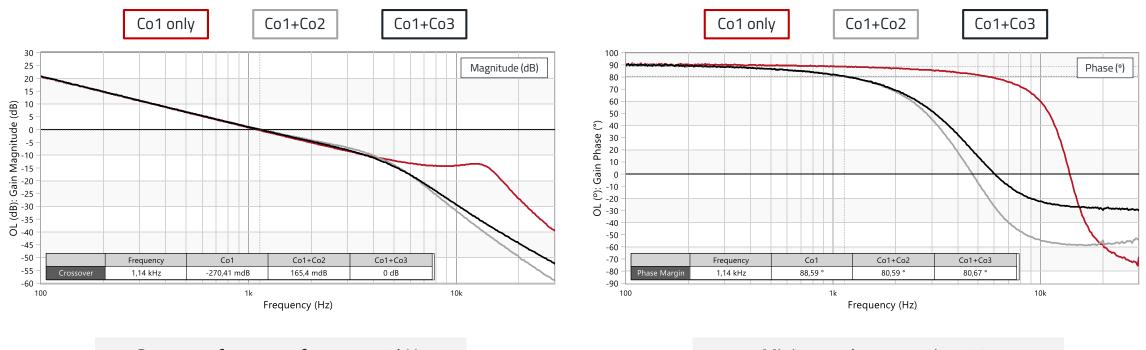




 10^{4}

10⁴

Open-loop response and phase margin

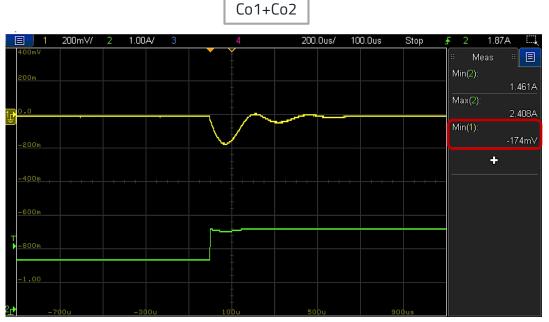


Crossover frequency f_c ~ 1 to 1.2 kHz

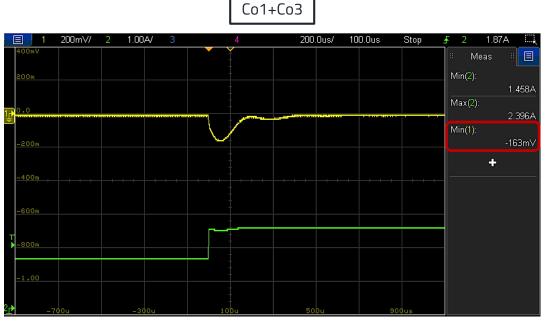
Minimum phase margin ~ 80°



Transient response for Co2 and Co3 cases with type-1 compensator



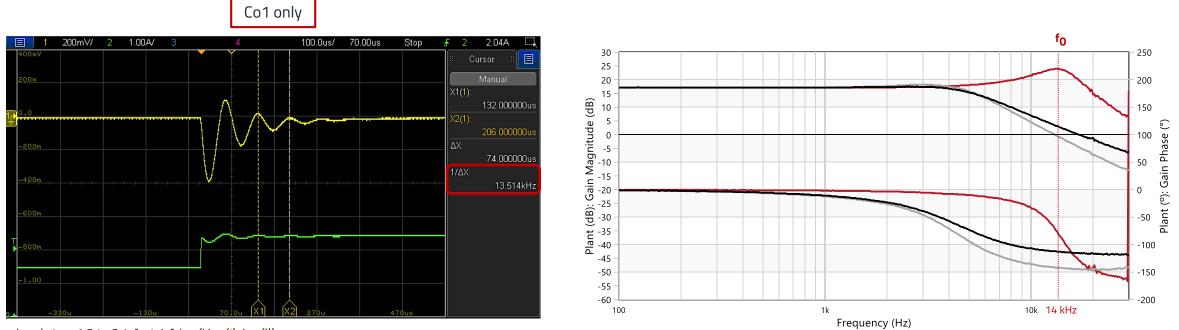
Load step: 1.2 to 2.4 A at 1 A/µs (V_{out} (I), I_{out} (II))



Load step: 1.2 to 2.4 A at 1 A/µs (V_{out} (I), I_{out} (I))

Despite smaller load step: longer settling time and higher undershoot than before. Degraded transient performance. There is a small underdamped oscillation with Co2 and not with Co3, despite same phase margin ~ 80° ... Let us see ...

Transient response for Co1-only case: underdamped oscillation despite high phase margin



Load step: 1.2 to 2.4 A at 1 A/µs (V_{out} (I), I_{out} (II))

Stable, but with some underdamped oscillation at ~ 14 kHz

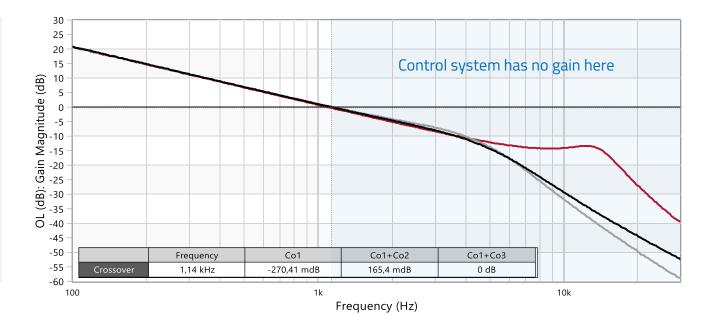
LC resonant double-pole with **Co1-only** is at ~ 14 kHz with a Quality factor ~ 2.5





Lack of gain of control system above crossover

- Closed-loop bandwidth f_{C_CL} ≈ f_{C_OL}
- No gain above f_c
- System cannot correct for oscillations above f_C
- Issues for high Q (underdamped double-pole)
- Very high $Q \rightarrow$ Could cross over again at 0 dB !
- Must be careful with Q if using this approach!





SUMMARY - KEY TAKEAWAYS



SUMMARY AND KEY TAKEAWAYS

- Output capacitor(s) parameters shape the converter plant transfer function: Capacitance and ESR values
- For a fixed compensator, changes in the output capacitors will affect the stability margins
- Transient performance degradation and even full-blown instability are possible
- Always check feedback loop stability margins after changes in output capacitor(s)
- Redesign compensator to keep good stability margins
- Very-low crossover frequency: stability for many different output capacitor and power inductors
- But ... degraded transient response and oscillation for high quality factors
- A compensator tailored to a specific plant characteristic will provide the best performance







